

# Κβαντική Μηχανική Ι.

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### (Non-relativistic) Quantum Mechanics in a Nutshell

## 1 Basic Mathematics

**Linear complex space:** A set (of complex “vectors”)  $V$  is called a linear complex space if a commutative addition and multiplication by a complex number is defined. The elements of  $V$  should not be confused with ordinary vectors in real space.

**Hilbert space:** Consider a linear complex space  $H$ , with an inner product,  $(\cdot, \cdot)$ . The inner product is a function that maps  $H \times H \rightarrow C$  with the following properties:

1.  $(x, y) = (y, x)^*$
2. Linearity:  $(x, \lambda_1 y_1 + \lambda_2 y_2) = \lambda_1 (x, y_1) + \lambda_2 (x, y_2)$ ,  $\lambda_i \in C$ .
3. The inner product is positive definite:  $(x, x) \geq 0$ . It is equal to zero only if the vector  $x$  is the zero vector. The norm of a vector is defined as  $\|x\| \equiv +\sqrt{(x, x)}$ .

If  $H$  is complete (every sequence of vectors that converges, it converges to an element of  $H$ ), then it is called a Hilbert space.

**Basis:** A set of vectors is called a basis, if any vector of the Hilbert space can be written as a linear combination of the basis vectors. Any such basis can be made orthonormal (basis elements have norm 1 and are mutually orthogonal) by the Gram-Schmidt procedure.

**Linear functionals.** A linear functional  $f$  is a map from a vector space  $H$  to the complex numbers,  $f : H \rightarrow C$ .

There is a one-to-one map between vectors and linear functionals. To each vector  $a$  in a vector space we associate the linear functional  $f_a \equiv (a, \cdot)$  using the inner product.

We call the linear functional  $f_a$  the linear functional dual to the vector  $a$ .

**Dirac notation:** Vectors  $x \in H$  are denoted by kets:  $|x\rangle$ .

Linear functional dual to a vector  $|x\rangle$  are denoted by bras  $\langle x|$

The action of linear functional  $\langle x|$  on a vector  $|y\rangle$  is given by the inner product  $\langle x|y\rangle$  (as discussed above).

**Linear Operators in a Hilbert space:** A linear operator  $A$  is a linear map from  $H \rightarrow H$ :  $A|x\rangle = |y\rangle$ .

Linearity implies that

$$A(\lambda_1|a\rangle + \lambda_2|b\rangle) = \lambda_1 A|a\rangle + \lambda_2 A|b\rangle, \quad \lambda_i \in \mathbb{C}$$

The hermitial adjoint  $A^\dagger$  of an operator  $A$  is also an operator defined as

$$\langle x|A^\dagger|y\rangle = \langle y|A|x\rangle^*$$

We call an operator  $A$  a hermitian operator if it satisfies  $A = A^\dagger$ .

We call an operator  $U$  a unitary operator if it satisfies  $UU^\dagger = 1$ .

**Dirac representation of operators** We define the symbol  $|a\rangle\langle b|$  associated to two vectors  $a, b$  to act on vectors  $|x\rangle$  of the Hilbert space as

$$|a\rangle\langle b| |x\rangle = |a\rangle \langle b|x\rangle = \langle b|x\rangle |a\rangle$$

and since it is a complex number ( $\langle b|x\rangle$ ) times a vector ( $|a\rangle$ ) it is therefore a vector. As  $|a\rangle\langle b|$  maps a vector to a vector, it is an operator in the Hilbert space.

If  $|n\rangle$  are the eigenstates of an operator  $A$  and  $A_n$  are the related eigenvalues then

$$A = \sum_n A_n |n\rangle\langle n|$$

**The spectrum of operators.** Any vector  $|x\rangle \in H$  satisfying

$$A|x\rangle = a|x\rangle, \quad a \in \mathbb{C}$$

is called an eigenvector of  $A$  with eigenvalue  $a$ . The eigenvalues of hermitian operators are real numbers and their eigenfunctions form a basis of the Hilbert space.

Sometimes eigenvalues can be degenerate when there is a non-trivial subspace of vectors with the same eigenvalue. The number of linearly independent vectors with the same eigenvalue is the degeneracy of that eigenvalue. The set of all eigenvalues of  $A$  is called the spectrum of  $A$ .

The spectrum can be discrete or continuous or both. Let  $|n, r\rangle$  be the states of the discrete spectrum of a hermitian operator ( $r$  is an index that distinguishes degenerate states) and  $|\nu, \rho\rangle$ , the states in the continuous spectrum ( $\rho$  is an index that distinguishes degenerate states).

We can always choose the degenerate basis so that it is orthonormal in the indices  $r, \rho$ .

$$\langle n, r|n', r'\rangle = \delta_{n,n'}\delta_{r,r'}, \quad \langle n, r|\nu', \rho'\rangle = 0, \quad \langle \nu, \rho|\nu', \rho'\rangle = \delta(\nu - \nu')\delta(\rho - \rho')$$

The commutator  $[A, B]$  and anticommutator  $\{A, B\}$  of two operators  $A, B$  are defined as

$$[A, B] \equiv AB - BA, \quad \{A, B\} \equiv AB + BA$$

**The matrix representation of vectors and operators**

Consider an orthonormal basis  $|n\rangle$ , based on the eigenstates of a hermitian operator  $A$ , ( $\langle m|n\rangle = \delta_{m,n}$ ).

Consider an arbitrary vector  $|u\rangle$  in the Hilbert space. The inner products with the basis vectors  $a_n = \langle n|u\rangle$  give a row of numbers that are the “coordinates” of the vector  $u\rangle$  in the basis  $|n\rangle$ .

The inner products  $\langle u|n\rangle = a_n^*$  are the adjoint vector coordinates.

For any operator  $B$ , the complex numbers  $B_{mn} \equiv \langle m|B|n\rangle$  form the matrix representation of  $B$  in the basis  $|n\rangle$ . The row number is  $m$  and the column number is  $n$ .

If you use as a basis the eigenstates of  $A$  then in this basis its matrix representation is by a diagonal matrix.

(a) The Hermitian adjoint of an operator is represented by the Hermitian adjoint matrix of the matrix representation of the original operator

$$\langle m|B^\dagger|n\rangle = \langle n|B|m\rangle^*$$

(b) Algebraic relations between vectors and operators survive the passage to the matrix representation. Ex: if an operator  $A$  satisfies  $A^2 = A$ , then its matrix representation  $A_{mn} \equiv \langle m|A|n\rangle$  satisfies the matrix relation,  $\sum_r A_{mr}A_{rn} = A_{mn}$

(c) The trace of any operator is written as  $Tr[B] = \sum_n B_{nn}$  and is independent of the basis used to compute it.

Two different orthonormal bases  $|a\rangle, |m\rangle$  are related by a unitary transformation

$$|m\rangle = \sum_a U_{a,m}|a\rangle, \quad |a\rangle = U_{a,n}^*|n\rangle, \quad UU^\dagger = U^\dagger U = 1$$

The matrix representations of a given operator when we change basis are related by a similarity transformation

$$B_{mn} = U_{ma}^\dagger B_{ab} U_{bn}$$

If  $|\psi\rangle$  is the state vector of a quantum mechanical system at a given time, and  $|\vec{r}\rangle$  are the eigenstates of the position operators with eigenvalues  $\vec{r}$ , then  $\langle \vec{r}|\psi\rangle \equiv \psi(\vec{r})$  is the probability amplitude for the system to have position  $\vec{r}$ . This is the wavefunction of the system in the position representation. The scalar product of two states can be written as

$$\langle \phi|\psi\rangle = \int d^3\vec{r} \langle \phi|\vec{r}\rangle \langle \vec{r}|\psi\rangle = \int d^3\vec{r} \phi^*(\vec{r})\psi(\vec{r})$$

## 2 Axioms of quantum mechanics

**Axiom 1.** At a given time  $t = t_0$  the state of a quantum system is characterized by a vector (ket)  $|\psi(t_0)\rangle \in \mathcal{H}$ , with  $\mathcal{H}$  a Hilbert space<sup>1</sup>

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<sup>1</sup>Hilbert space=infinite dimensional, separable complex vector space with a positive definite inner product.

There is a special hermitian linear operator,  $\hat{H}$  acting on  $\mathcal{H}$ , the hamiltonian. It controls the evolution of a quantum system in time by following equation

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle \quad (1)$$

known as the Schrödinger equation.

**Corrolary:** The linear combination of two states is a state of the system.

**Axiom 2.** To any observable  $O$ , there corresponds a hermitian operator  $\hat{O}$  that acts linearly on  $\mathcal{H}$ .

**Axiom 3.** Any observation (measurement) of the observable  $O$  on a quantum system gives as a result one of the eigenvalues of  $\hat{O}$ . The eigenvalues of a hermitian operator are real, and its eigenvectors form a basis in  $\mathcal{H}$ .

Let  $\lambda_n, |\psi_n\rangle, n = 1, 2, \dots$ , be the (discrete) eigenvalues<sup>2</sup> and (orthonormal) eigenvectors of  $\hat{O}$

$$\hat{O} |\psi_n\rangle = \lambda_n |\psi_n\rangle \quad , \quad \langle \psi_n | \psi_m \rangle = \delta_{m,n} \quad (2)$$

A generic state  $|\phi\rangle$  of the quantum system can be expanded in the elements of the  $|\psi_n\rangle$  basis as

$$|\phi\rangle = \sum_{n=1}^{\infty} c_n |\psi_n\rangle \quad , \quad c_n = \langle \psi_n | \phi \rangle \quad (3)$$

For an hermitian operator  $\hat{A}$  with a continuous spectrum we have similar formulae,

$$\hat{A} |\psi_a\rangle = a |\psi_a\rangle \quad , \quad \langle \psi_a | \psi_b \rangle = \delta(a - b) \quad (4)$$

A generic state  $|\phi\rangle$  of the quantum system can be expanded in the elements of the  $|\psi_a\rangle$  basis as

$$|\phi\rangle = \int c(a) |\psi_a\rangle da \quad , \quad c(a) = \langle \psi_a | \phi \rangle \quad (5)$$

**Axiom 4.**

If the state  $|\phi\rangle$  is normalized, ( $\langle \phi | \phi \rangle = 1$ ), then the probability of measuring a non-degenerate eigenvalue  $\lambda_n$  in the state  $|\phi\rangle$  is

$$p_n = |c_n|^2 = |\langle \psi_n | \phi \rangle|^2 \quad (6)$$

The sum of all probabilities is one

$$\sum_{n=1}^{\infty} P_n = \sum_{n=1}^{\infty} |\langle \psi_n | \phi \rangle|^2 = \sum_{n=1}^{\infty} \langle \psi_n | \phi \rangle^* \langle \psi_n | \phi \rangle \sum_{n=1}^{\infty} \langle \phi | \psi_n \rangle \langle \psi_n | \phi \rangle = \langle \phi | \phi \rangle = 1 \quad (7)$$

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<sup>2</sup>There are respective formulae for continuous eigenvalues.  $\hat{O} |psi_{i\lambda}\rangle = \lambda |psi_{i\lambda}\rangle$  with  $\lambda$  continuous

where we used the completeness relation

$$\sum_{n=1}^{\infty} |\psi_n\rangle \langle \psi_n| = 1 \quad (8)$$

Degenerate eigenvalues: If the eigenvalue  $\lambda_n$  is  $m$ -fold degenerate, let  $|\psi_n^i\rangle$ ,  $i = 1, 2, \dots, m$  be an orthonormal basis in this degenerate subspace

$$\hat{O}|\psi_n^i\rangle = \lambda_n|\psi_n^i\rangle, \quad \forall i, \quad \langle \psi_n^i | \psi_n^j \rangle = \delta_{i,j} \quad (9)$$

Then the probability of measuring the eigenvalue  $\lambda_n$  in the state  $|\phi\rangle$  is

$$p_n = \sum_{i=1}^m |\langle \psi_n^i | \phi \rangle|^2 \quad (10)$$

If the spectrum is continuous, the probability of measuring a value between  $a$  and  $a + da$  is

$$p(a)da = |\langle \psi_a | \phi \rangle|^2 da \quad (11)$$

**Corrolary:** If  $|\phi\rangle$  is normalized, then  $e^{i\theta}|\phi\rangle$  (with constant  $\theta$ ) is also normalized, and gives exactly the same probabilities. Note however that relative phases matter:  $|\phi_1\rangle + |\phi_2\rangle$  and  $|\phi_1\rangle + e^{i\theta}|\phi_2\rangle$  give in general different probabilities.

**Axiom 5.** Measurement. If a quantum system is in a normalized state  $|\psi\rangle$  and at a give time a measurement of the observable  $O$  provides one of its eigenvalues  $\lambda_n$ , then automatically the system jumps from  $|\psi\rangle$  to

$$|\psi\rangle' = \frac{P_n|\psi\rangle}{\langle \psi | P_n | \psi \rangle} = |\psi_n\rangle, \quad P_n = |\psi_n\rangle\langle \psi_n| \quad (12)$$

The state is projected on  $|\psi_n\rangle$  by the projection operator  $P_n$ , ( $P_n^2 = P_n$ ).

If the eigenvalue  $\lambda_n$  is degenerate, the state  $|\psi\rangle$  is projected to an arbitrary vector in the degenerate subspace. The measurement process is NOT described by the Schrödinger equation.

**Axiom 6.** The Pauli principle. Identical particles with integer spin, must obey Bose statistics: their total wavefunction is symmetric under their exchange. Identical particles with half-integer spin, must obey Fermi statistics: their total wavefunction is anti-symmetric under their exchange.

### 3 Dynamics

The state of a quantum system  $|\psi\rangle$  described by a Hamiltonian operator  $H$  is evolving in time by the Schrödinger equation

$$i\hbar \frac{d}{dt} |\psi\rangle = H |\psi\rangle$$

When a measurement process on a system is made, this evolution is temporarily interrupted and the system jumps to the appropriate eigenstate. The solution to this equation, when  $\frac{\partial H}{\partial t} = 0$  can be formally written as

$$|\psi(t)\rangle = e^{-\frac{i}{\hbar}H(t-t_0)} |\psi(t_0)\rangle$$

where the time evolution operator  $U(t, t_0) = e^{-\frac{i}{\hbar}H(t-t_0)}$  is unitary. To solve this equation we must find the energy eigenstates  $|E\rangle$  of the system

$$H|E\rangle = E|E\rangle$$

The general solution is written as

$$|\psi(t)\rangle = \sum_E c(E) e^{-\frac{i}{\hbar}Et} |E\rangle$$

where the sum is over the complete basis of eigenstates.